



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No. 9391.10

Application of

Paul H. Phibbs, Jr.

Serial No. 09/943,060

Group Art Unit: 2164

Filed: August 30, 2001

Examiner: Unknown

For: **CAPITAL ALLOCATION IN A NET INTEREST REVENUE
IMPLEMENTATION FOR FINANCIAL PROCESSING IN A RELATIONAL
DATABASE MANAGEMENT SYSTEM**

Assistant Commissioner
for Patents

Washington, D.C. 20231

CERTIFICATE OF MAILING

(37 CFR 1.8a)

I hereby certify that this correspondence is, on the date shown below, being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

10-24-01
Date

Sallie Spicer

Attn: Application Processing Division
Customer Correction Branch

Sir:

REQUEST FOR CORRECTED FILING RECEIPT

RECEIVED

JAN 16 2002

Technology Center 2100

Please be advised that there is an error on the filing receipt for the above patent application with respect to the following data, which is incorrectly entered:

Title

CAPITAL ALLOCATION IN
A NET INTREREST REVENUE
IMPLEMENTATION FOR
FINANCIAL PROCESSING IN
A RELATIONAL DATABASE
MANAGEMENT SYSTEM

Correct Title

CAPITAL ALLOCATION IN
A NET INTEREST REVENUE
IMPLEMENTATION FOR
FINANCIAL PROCESSING IN
A RELATIONAL DATABASE
MANAGEMENT SYSTEM

receipt

We have enclosed a copy of the official filing receipt from the Patent and Trademark Office in the above application. We have also enclosed a copy of the original Patent Application Transmittal which includes the date this application was mailed by certification under 37 CFR 1.10 to the Patent and Trademark Office.

Issuance of a corrected filing receipt is respectfully requested.

Please charge any fee associated with the filing of this Request or any additional fees due to the account of NCR Corporation, Deposit Account No. 50-1673.

Respectfully submitted,



Attorney for Applicant(s)
James M. Stover
Reg. No. 32,759
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Dayton, Ohio



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE RECD	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/943,060	08/30/2001	2164	710	9391	4	15	3

CONFIRMATION NO. 8739

James M. Stover
 NCR Corporation Department WHG4W
 1700 S. Patterson Blvd.
 Dayton, OH 45479



RECEIVED
 OCT 15 2001

LAW DEPARTMENT

FILING RECEIPT



OC000000006872459

Date Mailed: 10/09/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Paul H. Phibbs JR., Escondido, CA;

Assignment For Published Patent Application

NCR Corporation;

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Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/253,254 11/27/2000
 AND CLAIMS BENEFIT OF 60/253,281 11/27/2000
 AND A CIP OF 09/608,355 06/29/2000
 WHICH IS A CIP OF 09/610,646 06/29/2000

Foreign Applications

If Required, Foreign Filing License Granted 10/09/2001

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

Title

Capital allocation in a net interest revenue implementation for financial processing in a relational database management system

Preliminary Class

705

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Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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1-22-02



Bib Data Sheet

CONFIRMATION NO. 8739

SERIAL NUMBER 09/943,060	FILING DATE 08/30/2001 RULE	CLASS 705	GROUP ART UNIT 2164	ATTORNEY DOCKET NO. 9391
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APPLICANTS

Paul H. Phibbs JR., Escondido, CA;

** CONTINUING DATA *****

THIS APPLN CLAIMS BENEFIT OF 60/253,254 11/27/2000
 AND CLAIMS BENEFIT OF 60/253,281 11/27/2000
 AND A CIP OF 09/608,355 06/29/2000
 WHICH IS A CIP OF 09/610,646 06/29/2000

** FOREIGN APPLICATIONS *****

IF REQUIRED, FOREIGN FILING LICENSE GRANTED

** 10/09/2001

Foreign Priority claimed	<input type="checkbox"/> yes <input type="checkbox"/> no	STATE OR COUNTRY	SHEETS DRAWING	TOTAL CLAIMS	INDEPENDENT CLAIMS
35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance	CA	4	15	3
Verified and Acknowledged	Examiner's Signature _____ Initials _____				

ADDRESS

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TITLE

Capital allocation in a net interest revenue implementation for financial processing in a relational database management system

FILING FEE RECEIVED 710	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
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